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#### 1 Introduction

Motion estimation is a fundamental problem in computer vision. Since Horn and Schunck (HS)<sup>1</sup> proposed the classical variational optical flow method, it has become one of the most successful approaches to obtain accurate motion information.<sup>2</sup> Motion field is the two-dimensional projection of the three-dimensional motion of surfaces in the world, whereas the optical flow is the apparent motion of the brightness patterns in the image.<sup>3</sup> Currently, the optical flow method is widely used for motion estimation (image alignment and registration), motion analysis (object detection, object tracking, object recognition), etc.

Over the past 30 years, researchers have devised various optical flow methods. However, variational approaches have become predominant because of the following inherent advantages: 1. Integrating different concepts into one single minimization framework to combine the merits of different available approaches; 2. Using convex and robust energy functional guarantees the objective function has an unique global minimum; 3. The filling-in effect yields a dense flow field, whereas

**Abstract.** Variational methods are the most widely used approaches for optical flow computation. Many complicated algorithms have been proposed to improve their performance, yet little work has focused on how to select the optimal smoothness parameter  $\lambda$  of the variational optical flow algorithm itself. We present a weighted root mean square error method to automatically select the optimal smoothness parameter  $\lambda$ . Furthermore, we detail a scientific method for selecting the reference  $\lambda_0$  based on the quality of the frame, and propose an efficient brute-force approach to assign a group of  $\lambda$ , that will reduce the number of  $\lambda$  candidates to be tested by cutting down the search range. Experimental results validate the effectiveness of our methods. © 2012 Society of Photo-Optical Instrumentation Engineers (SPIE). [DOI: 10.1117/1.OE.51.3.037202]

Subject terms: variational optical flow; optimal smoothness parameter  $\lambda$ ; reference  $\lambda_0$ ; weighted root mean square.

Paper 111194 received Sep. 25, 2011; revised manuscript received Jan. 9, 2012; accepted for publication Jan. 10, 2012; published online Mar. 26, 2012.

other optical flow techniques require subsequent postprocessing steps to interpolate the sparse flow; 4. Combing with numerical approaches (multigrid method<sup>4</sup>) and advanced computer techniques<sup>2</sup> allows for real-time application.

Although variational methods have some superiorities, their basic structure, where a local, gradient-based matching of pixel brightness values is combined with a global smoothness assumption, has some shortcomings. Newer approaches have steadily overcome these limitations. The modified isotropic and anisotropic smoothness term,<sup>5,6</sup> nonquadratic data term,<sup>7</sup> and the total variation (TV) L1-norm model<sup>8</sup> have been proposed to allow for piecewise smoothness, while preserving discontinuities. Outliers are penalized less severely by robust statistic functions,<sup>5,7</sup> and filtering approaches have been presented to further dispose noise.<sup>8</sup> Illumination change problems can be handled by integrating the assumption of constancy of the gradient or higher order derivatives,<sup>6</sup> by photometric invariant constraints, by using cross-correlation techniques,<sup>9</sup> or by structure-texture decomposition method<sup>2</sup> coarse-to-fine strategies,<sup>10</sup> nonlinearized models,<sup>6</sup> and descriptor matching<sup>11</sup> have been introduced to tackle large displacements. Real-time performance can be achieved by using multigrid strategy

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and parallel computation in central processing units (CPU),<sup>4</sup> and modern graphic processing units (GPUs).<sup>2</sup>

Most related work has focused on finding complex ways to improve the quality of the optical flow field, which in many cases only work under special conditions. In this paper, we address the problem of how to select the optimal smoothness parameter  $\lambda$  of the variational optical flow algorithm itself. Choosing an appropriate  $\lambda$  is critically important for obtaining desirable results. In Ref. 12, a smoothness weight selection problem based on the weighted distance using the blurring operator is studied, but it cannot be applied directly to variational algorithms because of its spatially varying character. A brute-force method is proposed in Ref. 13. However, it is computationally expensive, especially with respect to robust data terms. An approach jointly estimating the flow and the model parameters in a Bayesian framework is presented in Ref. 14, but this method for minimizing the objective function is too complex. Recently, Zimmer proposed an optimal prediction principle (OPP) method,<sup>6</sup> but it is limited to conditions with constant speed and linear trajectories of objects.

In this paper, we introduce the Euclidean distance L2 norm-root mean square (RMS) error, which is used as a judgment to evaluate the performance of different kinds of Lucas-Kanade algorithms<sup>15</sup> in order to determine the optimal  $\lambda$ . Because this approach would be challenged under some less than optimal conditions, we further modify the RMS criterion by introducing a weighting factor. Our Weighted RMS method based on the principle that the worse the flow field is, the larger the weighted RMS (WRMS) becomes, and the better the flow field is, the smaller the WRMS will be.

Section 2 describes a classical TV-L1 optical flow algorithm. Section 3 gives the detailed instruction of the WRMS approach to select the optimal smoothness parameter  $\lambda$ . Experimental results and corresponding analysis are provided in Sec. 4. The paper is concluded in Sec. 5.

#### 2 TV-L1 Optical Flow Method

#### 2.1 L2-Norm: Original Horn-Schunck Algorithm

The HS model, proposed by Horn and Schunck,<sup>1</sup> is based on the brightness constancy assumption which assumes the brightness of a pixel remains the same for a small motion in a short period of time:

$$I_1(x, y, t) = I_2(x + u, y + v, t + dt),$$
(1)

where  $(u, v) = (\frac{dx}{dt}, \frac{dy}{dt})$  are the horizontal and vertical displacement fields. Obviously, the single Eq. (1) with two unknowns (u, v) results in an under-determined equation. Besides this problem, a small perturbation in the image may create large fluctuations on its derivatives. To overcome these two problems, researchers have introduced additional constraints. Based on the type of constraints, there are two primary groups: one applies global constraints, <sup>1,4</sup> another utilizes local constraints. <sup>10,15</sup> The global smoothness constraint originally used in the HS model is defined as:

$$|\nabla u|^2 + |\nabla v|^2 = \left(\frac{\partial u}{\partial x}\right)^2 + \left(\frac{\partial u}{\partial y}\right)^2 + \left(\frac{\partial v}{\partial x}\right)^2 + \left(\frac{\partial v}{\partial y}\right)^2.$$
 (2)

This smoothness constraint supposes that the neighbors of one pixel have almost the same velocity, so the flow field varies smoothly. Then the basic L2-Norm variational algorithm is established by:

$$E(u,v) = \int_{\Omega} \frac{\left[I_2(x+u,y+v,t+dt) - I_1(x,y,t)\right]^2}{\text{data term}} d\Omega + \lambda \int_{\Omega} \frac{\left(|\nabla u|^2 + |\nabla v|^2\right)}{\text{smoothness term}} d\Omega.$$
(3)

#### 2.2 L1-Norm: Classic+NL Algorithm

As stated in Refs. 8 and 9, in contrast to L2-norm model, the L1-norm model is better for preserving discontinuities, and also handles noise and outliers more robustly. After Rudin<sup>16</sup> introduced the TV approach into computer vision field, the L1-norm model became the main trend in optical flow algorithms. In this work, we further improve the performance of the variational optical method by combining the representative state-of-the-art TV-L1 "Classic+NL" algorithm<sup>8</sup> with our WRMS approach. The original "Classic+NL" algorithm is defined as follow:

$$\begin{split} E(u,v) &= \sum_{i,j} \{ \rho_D \big[ I_1(i,j) - I_2(i+u,j+v) \big] \\ &+ \lambda \big[ \rho_S(|u_x|) + \rho_S(|u_y|) + \rho_S(|v_x|) + \rho_S(|v_y|) c \big] \Big\} \\ &+ \lambda'(||u - \hat{u}||^2 + ||v - \hat{v}||^2) \\ &+ \sum_{i,j} \sum_{i',j' \in N_{i,j}} w_{i,j,i',j'}(|\hat{u}_{i,j} - \hat{u}_{i',j'}| + |\hat{v}_{i,j} - \hat{v}_{i'j'}|), \end{split}$$
(4)

where  $\lambda$ ,  $\lambda'$  are the weighting parameters, which control the relative importance of each term. In Sec. 3, we will propose a WRMS approach to automatically determine the optimal smoothness weighting parameter  $\lambda$ .  $\rho(x) = (x^2 + \varepsilon^2)^{\alpha}$  is the slightly nonconvex penalty function,  $\alpha = 0.45$ ,  $\varepsilon = 0.001$ .  $\hat{u}$  and  $\hat{v}$  are the auxiliary flow fields of *u* and *v*, and approximate to *u*, *v*.  $N_{i,j}$  is the set of neighbors of pixel (i,j) in a possibly large area.  $w_{i,j,i',j'}$  is the weighting parameter of the last nonlocal term, it denotes the similarity between pixel (i,j) and its neighbor pixels. In this work,  $w_{i,j,i',j'}$  is modified by integrating the information about image structure and flow boundaries in order to prevent over-smoothing across boundaries. It can be calculated through their color-valve distance, spatial distance, and occlusion state:

$$w_{i,j,i',j'} \propto \exp\left\{-\frac{|i-i'|^2 + |j-j'|^2}{2\sigma_1^2} - \frac{|I(i,j) - I(i',j')|^2}{2\sigma_1^2}\right\} \times \frac{o(i',j')}{0(i,j)},$$
(5)

where I(i, j) is the color vector in the lab space,  $\sigma_1 = \sigma_2 = 7$ , 0(i, j) is he occlusion variable which can be calculated using Eq. (22) from Ref. 17.

### **2.3** Efficient Approaches to be Adopted in Solving the Algorithm

Illumination changes create common and difficult issues in motion estimation. Zimmer, Bruhn and Weickert<sup>6</sup> employ the constancy of the gradient (or higher order derivatives)

method to dispose of this problem. However, the selection of the suitable weighting factor between the brightness term and the gradient term is not a trivial problem. To solve it, we apply the structure-texture decomposition method<sup>2</sup> to preprocess the input images to overcome illumination changes. A coarse-tofine scheme<sup>10</sup> is adopted to handle large displacement. The graduated nonconvexity (GNC) method is employed for converting the objective functional into a convex approximation. The preeminent numerical method,<sup>2</sup> which is based on a dual formulation of the TV energy, is utilized to solve the optical flow algorithm. Firstly, we decompose the energy functional Eq. (4) into Eqs. (6) and (7), then update either u, v or  $\hat{u}$ ,  $\hat{v}$  to get the final optical flow field (u, v) by alternatively computing the two equations:

$$E(u, v) = \sum_{i,j} \{ \rho_D [I_1(i,j) - I_2(i+u,j+v)] + \lambda \rho_S(|\nabla u| + |\nabla v|) \} + \lambda'(||u - \hat{u}||^2 + ||v - \hat{v}||^2),$$
(6)

$$E(u, v) = \lambda'(||u - \hat{u}||^2 + ||v - \hat{v}||^2) + \sum_{ij} \sum_{i'j' \in N_{ij}} w_{i,j,i'j'}(|\hat{u}_{i,j} - \hat{u}_{i'j'}| + |\hat{v}_{i,j} - \hat{v}_{i'j'}|).$$
(7)

The approach described in Ref. 2 is adopted to solve Eq. (7), and the traditional SOR method combined with the nonlinear full approximation scheme  $(FAS)^{4,11}$  are used to solve Eq. (6) (SOR method is a good compromise between simplicity and efficiency). We perform three times of alternating to solve Eqs. (6) and (7) after every warping in the computing procedure.

#### 3 WRMS Method to Select the Optimal Smoothness Parameter

Researchers introduced the smoothness assumption constraint<sup>1,4</sup> to overcome the ill-posed problem in variational algorithms. The smoothness weight  $\lambda$  plays an important role in controlling the trade-off between the data term and the smoothness term. If  $\lambda$  is too small, it will result in overfitting between the two frames. If  $\lambda$  is too large, the flow field would be too smooth.

#### 3.1 RMS Approach

Finding an available approach to determine the optimal smoothness parameter  $\lambda$  would directly improve the quality of the flow field. However, most of the present algorithms<sup>8,11</sup> simply set the smoothness parameter  $\lambda$  to a constant. Some strategies have been adopted to handle this problem, however, each of them has some drawbacks (e.g., being computational expensive<sup>13,14</sup>, being limited to special condition.<sup>6</sup> By summarizing the relevant research of others, we propose a RMS approach to determine the optimal smoothness parameter  $\lambda$  automatically.

Simon<sup>15</sup> employed the basic Euclidean distance error-RMS error as a measurement to judge the quality of the flow field of all kinds of Lucas-Kanade (LK) algorithms:

$$RMS = \sqrt{\frac{\sum_{x=1}^{M} \sum_{y=1}^{N} \left[I_1 - I_2(x+u, y+v)\right]^2}{MN}},$$
(8)

where M, N are the number of columns and rows of the frame respectively. (u, v) are the estimated optical flow field. This measurement has been demonstrated to evaluate the performance of LK algorithms effectively by comparing the value of RMS without knowing the ground truth.

Obviously, HS variational algorithms share the same characteristic with LK algorithms—the better the smoothness parameter  $\lambda$  is, the more accurate the result for the optical flow field (u, v), the better match that can be achieved between the two frames, the smaller of the RMS will be. Consequently, the optimal  $\lambda$  corresponds to the minimal RMS. Based on this theory, we introduce the RMS measurement to determine the optimal  $\lambda$  by:

$$\operatorname{RMS}(u_{\lambda_i}, v_{\lambda_i}) = \sqrt{\frac{\sum_{x=1}^{M} \sum_{y=1}^{N} \left[I_1 - I_2(x + u_{\lambda_i}, y + v_{\lambda_i})\right]^2}{MN}},$$
(9)

where  $(u_{\lambda_i}, v_{\lambda_i})$  is the estimated flow field with different  $\lambda_i$  (i = 1, 2, ...) of the variational algorithm:

$$E(u_{\lambda_{i}}, v_{\lambda_{i}}) = \sum_{i,j} \left\{ \rho_{D} \left[ I_{1}(i,j) - I_{2}(i + u_{\lambda_{i}}, j + v_{\lambda_{i}}) \right] \right. \\ \left. + \lambda_{i} \left[ \rho_{S}(|u_{\lambda_{i}x}|) + \rho_{S}(|u_{\lambda_{i}y}|) + \rho_{S}(|v_{\lambda_{i}x}|) + \rho_{S}(|v_{\lambda_{i}y}|) \right] \right\} \\ \left. + \lambda'(||u_{\lambda_{i}} - \hat{u}_{\lambda_{i}}||^{2} + ||v_{\lambda_{i}} - \hat{v}_{\lambda_{i}}||^{2}) \right. \\ \left. + \sum_{i,j} \sum_{i',j' \in N_{i,j}} w_{i,j,i',j'}(|\hat{u}_{\lambda_{i}i,j} - \hat{u}_{\lambda_{i}i',j'}| + |\hat{v}_{\lambda_{i}i,j} - \hat{v}_{\lambda_{i}i',j'}|).$$

$$(10)$$

#### **3.2** Determining the Optimal $\lambda$

According to Sec. 3.1, we first get the optical flow  $(u_{\lambda_i}, v_{\lambda_i})$  by solving Eq. (10). Then we compare the RMS $(u_{\lambda_i}, v_{\lambda_i})$  [Eq. (9)] of different  $\lambda_i$  to determine the optimal  $\lambda$ :

$$\lambda_{\text{optimal}} \to \min(\text{RMS}(u_{\lambda_i}, v_{\lambda_i})|_{i=1,2,\dots}).$$
(11)

Hence, the basic and most important step of the whole method is to set a group of  $\lambda_i$ .

In general, the relevance between  $\lambda_i$  and RMS $(u_{\lambda_i}, v_{\lambda_i})$  isn't convex, which excludes using mathematical optimization algorithms for finding the optimal value. We propose a novel brute-force method similar to that described in Ref. 13, but much more efficient, especially when integrated with modern GPUs. In order to reduce the number of  $\lambda_i$  to be tested, we first choose a reference  $\lambda_0$ , and then set a group of  $\lambda_i$  (i = 1, 2, ...) around it in a specified range.

#### **3.2.1** The principle used to select reference $\lambda_0$

According to our prior experiments on various sequences and the conclusions of other researchers,<sup>4,8</sup> we define the following principle to use in choosing the reference  $\lambda_0$ : first, the possible candidate values of  $\lambda_0$  is [3; 5; 8; 12; 15; 20;



**Fig. 1** The principle to select the reference value  $\lambda_0$ .

25]; and second,  $\lambda_0$  and its group sets are determined by the quality and resolution of the sequences. For high quality sequences, or the sequences with small details in the flow field, a small  $\lambda_0$  is chosen. For low quality sequences with a rather smooth flow field, larger  $\lambda_0$  should be selected. Figure 1 shows the principle to select the reference  $\lambda_0$ .

#### **3.2.2** The scheme to set the group $\lambda_i$

Zimmer<sup>6</sup> has described a process wherein he incremented and decremented  $\lambda_0$  by multiplying or dividing it with a stepping factor  $\alpha$  several times. In contrast to this approach, we give a more suitable method to set the group of  $\lambda$  proportionally. For example, when selecting  $\lambda_0 = 3$ , the group of  $\lambda$  is set to  $\lambda = [1:0.25:6]$ , where the first and last value indicate the start and end point, respectively, and 0.25 indicates the step size between them. When selecting  $\lambda_0 = 5$ , the group of  $\lambda$  is set to  $\lambda = [2:0.25:8]$ . When selecting  $\lambda_0 = 8$ , the group of  $\lambda$ is set to  $\lambda = [5:0.25:10:1/3:12]$  which indicates a step size of 0.25 between the starting point and 10, and a step size of 1/3 between 10 and the end point. When selecting  $\lambda_0 = 12$ , the group of  $\lambda$  is set to  $\lambda = [8:0.25:10:1/3:16]$ . When selecting  $\lambda_0 = 15$ , the group of  $\lambda$  is set to  $\lambda =$ [10:1/3:20]. When selecting  $\lambda_0 = 20$ , the group of  $\lambda$  is set to  $\lambda = [15:1/3:20:0.5:25]$ . When selecting  $\lambda_0 = 25$ , the group of  $\lambda$  is set to  $\lambda = [20:0.5:30]$  and so forth. In contrast with Zimmer's approach, which prefers going from a smaller  $\lambda$  to a larger  $\lambda$ , this equal proportion set avoids testing smaller  $\lambda_i$  (left side of  $\lambda_0$ ,  $\lambda_i < \lambda_0$ ) as well as less large  $\lambda_i$ (right side of  $\lambda_0$ ,  $\lambda_i > \lambda_0$ ). As the range of  $\lambda$  is determined in a reasonable small scope, treating them equally avoids leaving out any requisite candidate.

#### 3.3 Improved WRMS Approach

Although the RMS criterion is effective, it faces some problems: first, in practice, the sequences that require processing are often of low resolution and low quality (dim, noisy, etc.). Second, high quality sequences can contain serious occlusions, shadows, etc. In these cases, the accuracy of the flow field will deteriorate. Particularly, some wrong components  $(u_{i,j}, v_{i,j})$  of the flow field can severely perturb the accuracy of the RMS method. Hence, we present a modified approach to improve its performance by introducing weighting to RMS. Intuitively, the reason for our choice is that if the flow field component  $(u_{i,j}, v_{i,j})$  is wrong, the corresponding brightness in the error image  $I_{(i,j)Error} = I_2[x + u_{i,j}(wrong),$  $y + v_{i,j}(wrong)] - I_1(x, y)$  will seriously deviate from the actual one  $I_{(i,j)right} = I_2[x + u_{i,j}(right), y + v_{i,j}(right)] - I_1(x, y)$ . Naturally, this results in its gradient  $|\nabla I_{\text{Error}(i,j)}|_{\text{wrong}}|$  becoming much larger than the true gradient  $|\nabla I_{(i,j)\text{right}}|$ . We propose a weighted RMS (WRMS) criterion  $|\nabla I_{\text{Error}(i,j)}|$  RMS to handle this problem, which gives a heavier weight to the wrong component, and a lower weight to the right one. This improvement makes our WRMS method to represent the error more precisely, especially under bad conditions.

Usually, people tend to directly use the gradient  $|\nabla I|$  as a weight,<sup>18</sup> and simply compute the forward difference, backward difference, or central difference. These differences are roughly calculated by subtracting the neighborhood pixels. When we use this ordinary method of calculating the gradient of the warped image  $I_{warp} = I_2(x + u_{i,j}, y + v_{i,j})$ , it results in some originally correctly warped pixels to show the wrong results. This occurs because, in the warped image, some correct pixels are surrounded with wrong pixels, especially in situations such as at the edges, noisy areas, discontinuity positions, occlusions, etc. For instance,  $I_{warp\underline{I}(i,j)|wrong} = I_{warp(i,j)|right} - I_{warp(i-1,j)|wrong}$ . Reducing the number of these numerical approximation errors would further improve the result.

Through our research, we discovered that no correct pixel is isolated in the warped image  $I_{warp} = I_2(x + u_{i,j}, y + v_{i,j})$ . In other words, it is highly unlikely that a pixel is correct yet its neighbors are all wrong. At least some of its neighbors will be correct (for example, its upside neighbor is right, or both upside neighbor and forward neighbor are right, and so on). Basically, the brightness between the correctly warped pixel and its correctly warped neighbors is approximated, while a large difference exists between the correctly warped pixel and its relevant wrongly warped neighbors. Based on this characteristic, selecting the smaller of  $[I_{x(i,j)} = \min(I_{x(i,j)|\text{forward}}, I_{x(i,j)|\text{backword}})]$  between the pixel's forward difference and backward difference can greatly reduce the wrong gradients  $|\nabla I_{i,j}|$  (The same approach can be used to compute  $I_{y(i,j)}$ ). Hence, the weight can be corrected by this way. This improvement can be verified in Table 1.

#### 3.4 Principles to Reasonable Utilize WRMS, OPP

Using our WRMS method in complementwith the state-ofthe-art OPP method enables us to better deal with different practical conditions. With objects moving in a constant speed along a linear trajectory, the OPP approach should be considered first. Utilization of he WRMS method should be encouraged for sequences not possessing good quality as in the first case. Its use is strongly indicated especially where sequences have a significant amount of noise, serious occlusions, shadows, etc.

#### **4 Experimental Results**

We verified the correctness of our proposed WRMS approach by experiments using the Human-Assisted Motion Annotation database<sup>19</sup> and the standard Middlebury benchmark database.<sup>3</sup> In contrast with other papers<sup>4,6,8,11</sup> which only test the artificial sequences, our experiments also include the real scene sequences.

In the first experiment, we check whether or not our improved gradient weight is effective. We compare both the average angular error (AAE) and average end-point error (EPE) of the following three methods with each other: our improved weighted RMS method (WRMS), the **Fable 1** Contrast WRMS method to RMS method, central difference weight WRS (WRMS\_C) method (Iteration = 1000)

	Hydr	angea	Rubbei	-Whale	Gro	ve2	Grov	/e3	Urbé	an2	Urbí	an3	Han	q	To	>	õ	L	Fisl	_
	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE										
RMS	1.8518	0.1535	2.4062	0.0767	1.4717	0.1042	4.8439	0.4565	1.9948	0.2136	3.3021	0.4069	14.5390	1.6709	2.4926	0.5665	5.2052	0.5043	15.8853	0.6338
WRMS_C	1.8518	0.1535	2.4062	0.0767	1.4157	0.0977	4.7976	0.4458	1.9948	0.2136	3.0768	0.4143	14.5336	1.6548	2.4988	0.5669	5.1510	0.5101	15.3927	0.6442
WRMS	1.8260	0.1531	2.4062	0.0767	1.4003	0.0977	4.7976	0.4458	1.9937	0.2120	3.0068	0.3943	14.5336	1.6548	2.4440	0.5622	5.1510	0.5101	15.3816	0.6313

general RMS method (without weight) (RMS), and the simple central difference weighted RMS method (WRMS\_C). From Table 1, we can see that the weighted RMS method performs better than the pure RMS method, and our WRMS method further improves the accuracy of the simple WRMS\_C method for most of the sequences.

In the second experiment, we check whether our WRMS method does automatically determine the optimal smoothness parameter  $\lambda$ . We compare the state-of-the-art "Classic +NL" algorithm, which uses the fixed smoothness parameter  $\lambda$  based on experience, with our WRMS method. Table 2 shows that our approach outperforms the "Classic+NL" algorithm in most of the examples. For some sequences, like Toy, Car, Fish, we find a surprised improvement. For these sequences, the suitable  $\lambda$  value was unknown, so the "Classic+NL" algorithm, which simply selects the  $\lambda$  without reference to experience and without setting it to a fixed value, is separated from practice. Instead, our WRMS method would automatically determine the optimal  $\lambda$  without ground truth and experience.

In the third experiment, we compare the performance of our WRMS method to automatically determine the optimal smoothness parameter  $\lambda$  with the state-of-the-art ADCE approach. The results presented in Table 3 show that our method is superior to the ADCE approach for most of the sequences, except for the high quality ones in which the primary motion of the objects are constant speed on a linear trajectory (like Hydrangea). For sequences (like Car, Fish) with a complex background and low resolution, our WRMS method still outperforms the ADCE approach, even if the objects move with a constant speed along a linear trajectory.

In these above three experiments, we selected the reference  $\lambda_0$  according to our methodology outlined in Sec. 3.1. For the highest quality and resolution sequences, such as RubberWhale, Grove2, Grove3, Hand, Urban3, we set  $\lambda_0 = 3$ ; For Urban2 and Hydrangea whose quality is a little lower than Urban3, we set a little higher value of  $\lambda_0 = 5$ . Based on this principle, we used the following values for other sequences: Toy,  $\lambda_0 = 12$ ; Car,  $\lambda_0 = 20$ ; Fish,  $\lambda_0 = 25$ .

In our last experiment, we investigate three complex real scene sequences from the Middlebury benchmark database which include occlusion, large displacement, small-scale structures, illumination changes, shadows, etc. (we use frame 9, frame 10, and frame 11 in the three sequences to be tested). We compare "Classic+NL" and ADCE methods with our approach to test the practicality and effectiveness of WRMS. In this test, we set  $\lambda_0 = 12$  for the three sequences based on their quality.

Figure 2 shows that, when comparing the color optical flow field Figs. 2(a), 2(c), and 2(e) of the three methods, our WRMS method represents the complex movements of the legs almost completely correct, where the big girl's legs are nearly totally occluded by the little girl. In Fig. 3, which compares the movement of the small balls in Figs. 3(a), 3(c), and 3(e), we can see that our approach performs much better than the other two methods. The warped frames Figs. 3(b), 3(d), and 3(f) reflect that the "Classic+NL" method and the ADCE method both fail to estimate the motion of the balls because it is hard to handle large displacements with small scale structures, as pointed out in

Grove2	Grov	,e3	Urba	in2	Urb	in3	Ha	pr	Ĥ	λ	Ö	ar	Fis	
AAE EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE
7 1.4042 0.1058	4.9173	0.4638	2.1382	0.2276	3.0589	0.3983	14.5669	1.6646	2.7917	0.5975	6.6106	0.5757	24.0588	0.8735
	4.7976	0.4458	1.9937	0.2136	3.0068	0.3943	14.5336	1.6548	2.4440	0.5622	5.1510	0.5101	15.3816	0.6313
	AAE EPE AAE EPE 7 1.4042 0.1058 7 1.4003 0.0977	AAE EPE AAE 7 1.4042 0.1058 4.9173 7 1.4003 0.0977 4.7976	AAE EPE AAE EPE 7 1.4042 0.1058 4.9173 0.4638	AAE EPE AAE EPE AAE AAE 7 1.4002 0.1058 4.9173 0.4638 2.1382	Caloves         Caloves         Caloves         Caloves           AAE         EPE         AAE         EPE         AAE         EPE           7         1.4042         0.1058         4.9173         0.4638         2.1382         0.2276	Gloves         Gloves         Gloves         Gloves         Oldariz         Oldariz <tholdariz< th=""> <tholdariz< th=""> <tholdar< td=""><td>Convez         Calves         Calves         Calves         Calves           AAE         EPE         AAE         EPE         AAE         EPE           7         1.4042         0.1058         4.9173         0.4638         2.1382         0.2276         3.0589         0.3983           7         1.4003         0.0977         4.7976         0.4458         1.9937         0.7136         3.0068         0.3943</td><td>CHOWEZ         CHOWEZ         <thchowez< th=""> <thchowez< th=""> <thchowez< td="" th<=""><td>Ade         EPE         Ade         EPE         Add         Add         Add         Add         Add<td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add         EPE         Add         Add</td></td></td></td></td></td></thchowez<></thchowez<></thchowez<></td></tholdar<></tholdariz<></tholdariz<>	Convez         Calves         Calves         Calves         Calves           AAE         EPE         AAE         EPE         AAE         EPE           7         1.4042         0.1058         4.9173         0.4638         2.1382         0.2276         3.0589         0.3983           7         1.4003         0.0977         4.7976         0.4458         1.9937         0.7136         3.0068         0.3943	CHOWEZ         CHOWEZ <thchowez< th=""> <thchowez< th=""> <thchowez< td="" th<=""><td>Ade         EPE         Ade         EPE         Add         Add         Add         Add         Add<td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add         EPE         Add         Add</td></td></td></td></td></td></thchowez<></thchowez<></thchowez<>	Ade         EPE         Add         Add         Add         Add         Add <td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add         EPE         Add         Add</td></td></td></td></td>	Add         EPE         Add         Add <td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add         EPE         Add         Add</td></td></td></td>	Add         EPE         Add <td>Add         EPE         Add         Add<td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add         EPE         Add         Add</td></td></td>	Add         EPE         Add         Add <td>Add         EPE         Add         EPE         Add<td>Add         EPE         Add         Add         EPE         Add         Add</td></td>	Add         EPE         Add <td>Add         EPE         Add         Add         EPE         Add         Add</td>	Add         EPE         Add         Add         EPE         Add         Add

Contrast WRMS method to $ADCE^6$ method (Iteration = 1000).		
Table 3 (	Í	

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	Hydran(	јеа	RubberV	Vhale	Grove2		Grove3		Urban2		Urban3		Hand		Toy		Car		Fish	
	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE	AAE	EPE
Classic+ADCE	1.8201	0.1525	2.4259	0.0773	1.4003	0.0977	5.1175	0.4917	2.0039	0.2118	3.3574	0.4118	14.6812	1.6901	2.4505	0.5647	5.2052	0.5043	15.8853	0.6338
WRMS	1.8260	0.1531	2.4062	0.0767	1.4003	0.0977	4.7976	0.4458	1.9937	0.2136	3.0068	0.3943	14.5336	1.6548	2.4440	0.5622	5.1510	0.5101	15.3816	0.6313



(b)

(d)

(f)

Fig. 2 The optical flow fields and the warped frames for the three methods applied to backyard sequences: (a) Backyard\_Classic+NL\_Colorflow, (b) Backyard\_Classic+NL\_Warp, (c) Backyard\_ADCE\_Colorflow, (d) Backyard\_ADCE\_Warp, (e) Backyard\_WRMS\_Colorflow, and (f) Backyard\_WRMS\_Warp.



(b)

(d)

(f)

Fig. 3 The optical flow fields and the warped frames for the three methods applied to Beanbags sequences: (a) Beanbags\_Classic+NL\_Colorflow, (b) Beanbags\_Classic+NL\_Warp, (c) Beanbags\_ADCE\_Colorflow, (d) Beanbags\_ADCE\_Warp, (e) Beanbags\_WRMS\_Colorflow, and (f) Beanbags\_WRMS\_Warp.



(b)

(d)

(f)

Fig. 4 The optical flow fields and the warped frames for the three methods applied to Walking sequences: (a) Walking\_Classic+NL\_Colorflow, (b) Walking\_Classic+NL\_Warp, (c) Walking\_ADCE\_Colorflow, (d) Walking\_ADCE\_Warp, (e) Walking\_WRMS\_Colorflow, and (f) Walking\_WRMS\_Warp.

Ref. 11. Our method solves this challenging problem. When comparing the primary motion of the man in Fig. 4, especially his left hand, and particularly the fingers and his shadow in Figs. 4(a), 4(c), and 4(e), we see that our flow field is much more accurate than the other two. Despite the complex sequences, which include illumination changes and shadows, our warped image (f) is nearly the same as the original frame.

The results of the five experiments indicate that our WRMS method can automatically determine the optimal smoothness parameter  $\lambda$  and improves the accuracy of the estimated flow field successful.

#### **5** Conclusions

In this paper, we proposed an efficient WRMS method to automatically determine the optimal smoothness parameter  $\lambda$ , suitable for other kinds of variational optical flow algorithms. In our new approach, the reference  $\lambda_0$  is set based on the quality of the frame and an effective way to assign a group of  $\lambda$  to be tested. The alternative gradient approach reduces numerical errors, further improves the weight of RMS, and also provides a new numerical way to calculate the derivative. Finally, we suggested how to employ the WRMS approach and the OPP method complementarily. Experimental results demonstrated the effectiveness of the presented approaches.

#### Acknowledgments

This research was supported by Natural Science Foundation of Hubei Province (2011CDB452), Natural Science Foundation Key Program of Hubei Province (2009CDA141), National Key Technology R&D Program (2011BAK08B03) and special funds for basic research of Central Universities (111078).

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